

# Investment Recommendations: Disclosures of Conflicts of Interest

Article 20 of the European Union's Market Abuse Regulation (MAR) requires market participants who produce or disseminate investment recommendations or other information recommending or suggesting an investment strategy to disclose certain potential conflicts of interest.

In accordance with these requirements:

- TD Securities, or an affiliated company, has been lead manager or co-lead manager of a publicly disclosed offer of financial instruments of the following issuers (by ticker) within the last 12 months<sup>1</sup>:

Ticker					
AAP	ARE	BNG	CCO	CPPIBC	DISTUL
ACACB	ARR	BNG	CDK	CPXCN	DKS
ACICN	ASIA	BNS	CE	CRBG	DLR
ACOHOL	ATHENA	BOYD	CFF	CRRUN	DLTR
ACQCN	ATO	BPCE	CHEDB	CRTUCN	DLVGEN
ADB	AVB	BPCEGP	CHEUN	CRTUN	DNB
ADI	AWK	BRKHEC	CHPUN	CS	DNBNO
AEE	AWLN	BRUPOW	CHTR	CTHSA	DNTL
AEP	AXP	BSX	CIBC	CTS	DOLCN
AFDB	AXUCN	BTBUN	CICFP	CTSHSG	DOW
AFL	AZO	BWP	CITI	CU	DRRU
AFN	BAC	BX	CIXCN	CUCBC	DTE
AI	BACR	BXP	CJR	CUCN	DTMINC
AIIB	BALL	BZLNZ	CLGIX	CVA	DTRGR
AIREIN	BARUK	C	CLR	CVO	DUK
AKSHSG	BAX	CADEPO	CM	CWB	EAGCCT
AL	BBVASM	CANADA	CMCSA	CWBCN	EBRD
ALACN	BCECN	CANCOR	CME	D	ECICN
ALCTRA	BCIMCR	CARD	CMZB	DAIGR	ECN
ALTALK	BCRED	CARGIL	CNL	DANBNK	ECNCN
AMCR	BEPUN	CAS	CNMD	DB	EDC
AMT	BERGER	CASFAC	CNP	DCP	EFSF
AN	BIP	CASMED	CNRCN	DE	EFXCN
ANAT	BIPC	CAT	CNX	DFN	EIB
ANRG	BK	CBAAU	COCAPS	DFY	EIF
ANZSZ	BKNG	CBOE	COE	DGS	EIX
APR	BLN	CBT	CONN	DGSPR	ENBCN
APTV	BMO	CCDJ	CONNEC	DIR	ENBGAS
AQN	BMW	CCI	COP	DIRUN	ENLC
AQNCN	BNA	CCK	CPPIB	DISREV	ENRLP

<sup>1</sup> 31/11/2022

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Ticker					
ENS	GMCAR	IPLCN	LOWMAT	NFP	OVERAGE
ENSPR	GOINSO	ISO	LSLPWR	NGGLN	PACLIF
EPCOR	GPC	ISPIM	MAG	NIB	PARA
EPRT	GPK	ITC	MAR	NIBC	PASSFH
EQB	GPN	JABHOL	MASDEV	NIBCAP	PATK
EQBCN	GS	JPM	MASHSG	NIESA	PBH
EQIX	GSY	KBN	MCD	NNN	PCAR
EQT	HAMBRG	KDP	MCFE	NORBK	PEAK
ERI	HARVRD	KEYCN	MCO	NPI	PEP
ES	HCG	KFW	MEAG	NRW	PET
ETC	HD	KFW	MELPWR	NRWBK	PFG
ETHWY	HEP	KMI	MET	NSCCLF	PHFA
EU	HESM	KMPU	METWTR	NTRCN	PLD
EUROF	HF	KNT	MFCCN	NTST	PMZUCN
EXR	HOMETR	KNTK	MGLLNS	NWB	PPG
F	HOMUN	KOMINS	MHCU	NWG	PPLCN
FANG	HON	KOMMUN	MIZUHO	NWHUN	PRISMT
FBHS	HOTUN	KRP	MMC	NXRUN	PRU
FC	HOWMID	KUNTA	MOZ	NYC	PRYM
FCDUN	HSBC	LAC	MPCTUN	NYCGEN	PSK
FE	HUM	LAWATER	MPLX	NYCUTL	PSPCAP
FERGLN	HYDONE	LBCN	MRTUN	NYCWTR	PSX
FFCB	HYNMTR	LBS	MRUCN	NYSHGR	PYPL
FINNVE	IADB	LBSPPRA	MS	NYSHSG	R
FIS	IADB	LCN	MSI	O	RABOBK
FMS	IAGCN	LCS	MSREUN	OFC	RABOND
FMSWER	IBRD	LCSPR	MU	OHIO	RCICN
FORTFD	IBRD	LCSPRA	MUFG	OHSHSG	RCK
FR	IFC	LEG	NAB	OKB	REALT
FTN	IFCCN	LIBMUT	NACN	OKE	REIUCN
FTS	IFCPR	LIN	NACN	OMERFT	REIUN
FTSCN	IFFIM	LIPALIPA	NBLY	OMERS	REMARKET
FYBR	ILSHSG	LLOYDS	NDAQ	ONCRTX	RENTEN
GDV	INDIANA	LMT	NEDWBK	ONT	RS
GDVPR	INE	LNC	NEE	ONTPOW	RSPR
GIS	INSSFH	LNT	NESNVX	ONTTFT	RY
GLCRTR	INTNED	LOS	NEWDEV	ORCL	SAC
GM	IPL	LOSTUL	NFIUN	ORLY	SANTAN

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Ticker					
SANUK	SIA	STCALI	TE	TRP	WCNCN
SAP	SLFCN	STZ	TECK	TRPCN	WEC
SAPCN	SMIBK	SUCN	TERANE	TSU	WELL
SBB	SMUUN	SUMIBK	TF	TVA	WFC
SCDA	SNCCN	SUMMPL	TGT	UBS	WLTW
SCHHOL	SOCGEN	SWX	THRGN	UNH	WMB
SCLCN	SON	SYX	TIBX	UNIHGR	WSP
SCSUTL	SOTUN	T	TLO	USAACA	WSTNY
SEK	SPB	TACN	TMUS	USB	WSTP
SFIL	SPBPR	TAREDU	TORHYD	V	WTRG
SFIL	SPGI	TCKBCN	TOY	VETCN	WTW
SFILFR	SRE	TCN	TOYOTA	VLO	XEL
SFO	SSFUN	TD	TPR	VTR	ZTS
SHBASS	STANLN	TD	TRGP	VW	

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- TD Securities, or an affiliated company, has provided investment banking services to the following companies within the last 12 months<sup>2</sup>:

Client Name	
123DENTIST INC.	INNOVATIA INC.
ACCEL ENERGY CANADA LIMITED	KKR & CO. INC.
AGNICO EAGLE MINES LTD.	LES INDUSTRIES BERNARD & FILS LTEE
BASALT INFRASTRUCTURE PARTNERS III	LUNDIN MINING CORP
BP COGNAC CANADA OWNER LIMITED	MEDICAL FACILITIES CORPORATION
CAE INC.	MINDBEACON HOLDINGS INC.
CANADA DEVELOPMENT INVESTMENT CORPORATION	NORONT RESOURCES LTD.
CANADA OIL SANDS CO. LTD.	OAK HILL CAPITAL MANAGEMENT, INC
CANADA PENSION PLAN INVESTMENT BOARD	OCCIDENTAL PETROLEUM CORPORATION
CAPITAL ONE FINANCIAL CORPORATION	ONTARIO TEACHERS' PENSION PLAN BOARD
CBOE GLOBAL MARKETS, INC.	PLUSGRADE L.P.
CENOVUS ENERGY INC.	POLYCOR ACQUISITION CORP.
CENTERRA GOLD INC.	PROJECT MIST HOLDCO LLC
CLEARWAY ENERGY INC.	REGENCY RETIREMENT RESORTS
CPPIB CAPITAL INC.	RELIANCE INDUSTRIES LIMITED
CREW ENERGY INC.	STRATHCONA RESOURCES LTD.
DB ENERGY ASSETS, LLC	SUNCOR ENERGY INC.
ENERPLUS RESOURCES (USA) CORPORATION	SUNDANCE ENERGY, INC.
EQUINOR WIND US LLC	TERAGO INC.
FIREHOUSE RESTAURANT GROUP, INC.	TORONTO-DOMINION BANK, THE
GEN THREE PROPERTIES LTD	TRANSCANADA PIPELINES LIMITED
GOLD STANDARDS VENTURE CORP.	TURQUOISE HILL RESOURCES LIMITED
GORE DISTRICT LAND TRUSTEE CORPORATION	UEX CORPORATION
GOREWAY STATION PARTNERSHIP	US PBSA INVESTMENT MANAGEMENT LLC
GROUPE SELECTION INC.	VERMILION ENERGY INC.
IAMGOLD CORPORATION	WATEROUS ENERGY FUND
ICON INFRASTRUCTURE PARTNERS V, L.P	WITTINGTON PROPERTIES LIMITED
IMPERIAL OIL RESOURCES, AS AGENT FOR IMPERIAL OIL	YESWARE INC.

<sup>2</sup> 31/11/2022