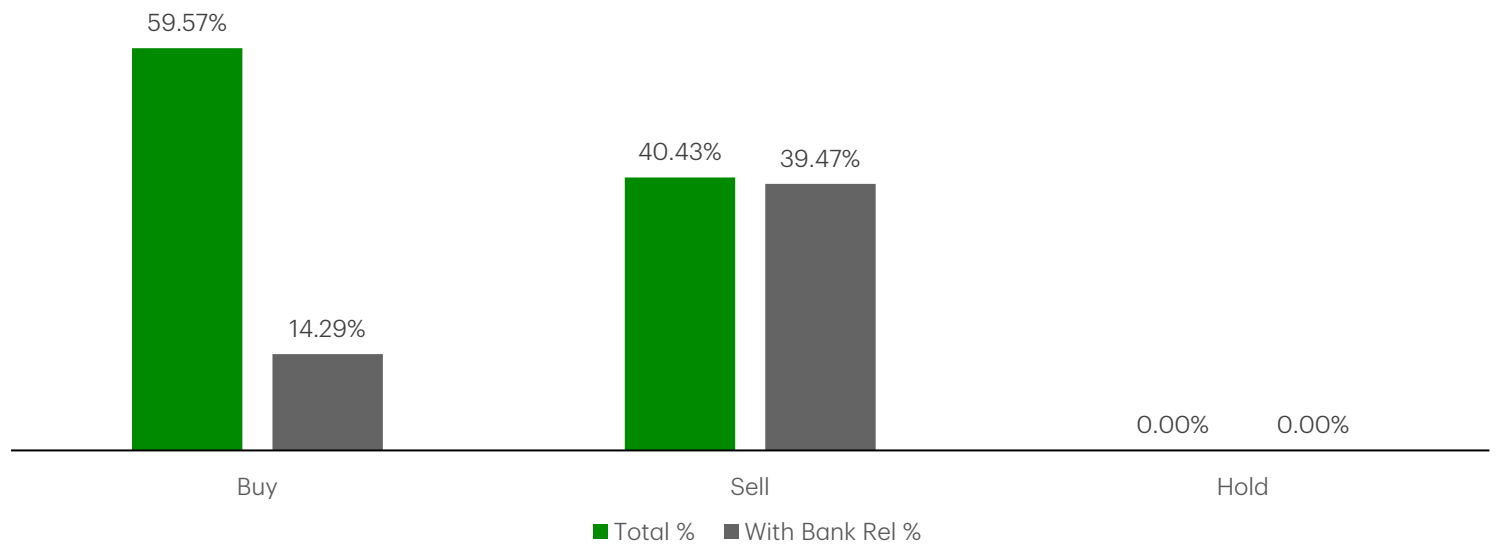


London

Q3 Investment Recommendations

Distribution of ratings/investment banking relationships



Date of Dissemination	Employee Name	ns1:instrumentIDValue	Issuer	Direction	validEndDate	Relationship
7/31/2023	MICHAEL PARIETTI	AUSTRIA 5.375 12/34 Corp	REPUBLIC OF AUSTRIA	buy	7/31/2023	No
7/3/2023	JELENA SPASOJEVIC	ARAMCO 1% 11/24/2025 REGS Corp	Saudi Arabian Oil	buy	7/3/2023	No
7/17/2023	MICHAEL PARIETTI	STLA 6% 09/12/2032 REGS Corp	Stellantis Finance US	buy	7/17/2023	No
7/24/2023	DAVIDE MENGISEN	MRK 4.3 05/17/2030 Corp	MERCK & CO INC	buy	7/24/2023	No
7/24/2023	DAVIDE MENGISEN	MRK 4.05 05/17/2028 Corp	MERCK & CO INC	sell	7/24/2023	No
8/10/2023	MICHAEL PARIETTI	BX 1 10/26 Corp	FIRST ABU DHABI BANK PJS	buy	8/10/2023	No
8/16/2023	JELENA SPASOJEVIC	QNBK 3% 03/28/2024 REGS Corp	Qatar National Bank	buy	8/16/2023	No
8/24/2023	MICHAEL PARIETTI	HYUNHI 3.179 03/28/2027 REGS Corp	HD HYUNDAI HEAVY INDUSTR	buy	8/24/2023	No
8/9/2023	MARVIN HAZELL	IFC 7 07/20/2027 Corp	INTL FINANCE CORP	buy	9/8/2023	Yes
8/9/2023	MICHAEL PARIETTI	BNP 0 02/24/2025 Corp	BNP Paribas	buy	8/9/2023	No
8/2/2023	MICHAEL PARIETTI	MUFG 0.953 7/25 Corp	MITSUBISHI UFJ FIN GRP	buy	8/2/2023	No
9/1/2023	EDWARD TYLER	KFW 0% 06/30/2025 Corp	KFW	sell	9/8/2023	Yes
9/1/2023	EDWARD TYLER	KFW 0% 03/09/2026 Corp	KFW	sell	9/8/2023	Yes
9/1/2023	EDWARD TYLER	KFW 0% 02/22/2027 Corp	KFW	sell	9/8/2023	Yes
9/1/2023	EDWARD TYLER	KFW 0 03/31/2027 REGS Corp	KFW	sell	9/8/2023	Yes
9/1/2023	EDWARD TYLER	KFW 2% 03/15/2028 REGS Corp	KFW	sell	9/8/2023	Yes
9/1/2023	EDWARD TYLER	KFW 1% 06/30/2027 REGS Corp	KFW	buy	9/8/2023	Yes
9/1/2023	EDWARD TYLER	ONT 0% 04/08/2027 REGS Govt	Province of Ontario	sell	9/8/2023	Yes
9/1/2023	EDWARD TYLER	Q 0% 05/04/2027 REGS Govt	Province of Quebec	sell	9/8/2023	No
9/1/2023	EDWARD TYLER	Q 0% 07/05/2028 REGS Govt	Province of Quebec	sell	9/8/2023	No
9/1/2023	EDWARD TYLER	TD 0.1 07/19/2027 REGS Corp	Toronto-Dominion Bank	buy	9/8/2023	Yes

London ■ Q3 Investment Recommendations

Date of Dissemination	Employee Name	ns1:instrumentIDValue	Issuer	Direction	validEndDate	Relationship
8/9/2023	MICHAEL PARIETTI	PENSKE 4 07/15/2025 144A Corp	PENSKE TRUCK LEASING/PTL	buy	8/9/2023	No
9/22/2023	EDWARD TYLER	EU 0 03/04/2026 REGS Corp	EUROPEAN UNION	sell	9/29/2023	No
9/22/2023	EDWARD TYLER	EFSF 0 07/20/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	9/29/2023	No
9/7/2023	BEN GARBETT	IADB 5 02/12/2024 Corp	INTER-AMERICAN DEVEL BK	sell	9/7/2023	Yes
9/7/2023	BEN GARBETT	EBRD 5.15 02/16/2024 Corp	EUROPEAN BK RECON & DEV	sell	9/7/2023	Yes
9/7/2023	BEN GARBETT	IFC 6.3 11/25/2024 Corp	INTL FINANCE CORP	sell	9/7/2023	Yes
9/7/2023	BEN GARBETT	IBRD 6% 09/08/2027 Corp	INTL BK RECON & DEVELOP	buy	9/7/2023	Yes
9/7/2023	BEN GARBETT	IBRD 6% 07/13/2029 Corp	INTL BK RECON & DEVELOP	buy	9/7/2023	Yes
9/12/2023	EDWARD TYLER	IBRD 0% 01/12/2033 REGS Corp	INTL BK RECON & DEVELOP	sell	9/19/2023	Yes
9/12/2023	EDWARD TYLER	EU 3% 07/04/2034 REGS Corp	European Union	buy	9/19/2023	No
9/4/2023	DAVIDE MENGISEN	SOCGEN 2.797 01/19/2028 REGS Corp	Societe Generale	buy	9/4/2023	No
9/19/2023	JELENA SPASOJEVIC	MAFUAE 4% 05/07/2024 REGS Corp	Majid Al Futtaim Holding	buy	9/19/2023	No
9/26/2023	EDWARD TYLER	CHINA 0 11/25/2025 REGS Govt	CHINA GOVT INTL BOND	sell	10/3/2023	No
9/26/2023	EDWARD TYLER	EIBKOR 3% 06/07/2026 REGS Govt	KEXIM	buy	10/3/2023	No
9/21/2023	EDWARD TYLER	EIB 0.1 10/15/2026 Corp	European Investment Bank (EIB)	sell	9/28/2023	No
9/21/2023	EDWARD TYLER	EFSF 0 07/20/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	9/28/2023	No
9/27/2023	EDWARD TYLER	EIB 0.1 10/15/2026 Corp	European Investment Bank (EIB)	sell	10/4/2023	No
9/27/2023	EDWARD TYLER	EFSF 0 07/20/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	10/4/2023	No
9/27/2023	EDWARD TYLER	EFSF 2% 08/17/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	10/4/2023	No
9/20/2023	DAVIDE MENGISEN	VZ 4.329 09/21/2028 Corp	Verizon Communications	buy	9/20/2023	No
9/20/2023	DAVIDE MENGISEN	VZ 3 03/22/2027 Corp	Verizon Communications	sell	9/20/2023	No
9/29/2023	EDWARD TYLER	EIB 3% 02/14/2033 Corp	European Investment Bank (EIB)	sell	10/6/2023	No
9/29/2023	EDWARD TYLER	EIB 2% 01/12/2033 REGS Corp	European Investment Bank (EIB)	buy	10/6/2023	No
9/29/2023	EDWARD TYLER	EIB 3 07/15/2033 REGS Corp	European Investment Bank (EIB)	buy	10/6/2023	No
9/29/2023	EDWARD TYLER	XS1978552237	European Investment Bank (EIB)	sell	10/6/2023	No
9/21/2023	EDWARD TYLER	EU 0 03/04/2026 REGS Corp	European Union	sell	9/28/2023	No
9/21/2023	EDWARD TYLER	EFSF 0 07/20/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	9/28/2023	No
9/8/2023	MICHAEL PARIETTI	MS 0.791 1/25 Corp	Morgan Stanley	buy	9/8/2023	No
9/25/2023	MICHAEL PARIETTI	STANLN 4.874 05/10/2031 REGS Corp	Standard Chartered Plc	buy	9/25/2023	No
9/25/2023	EDWARD TYLER	EIB 0.1 10/15/2026 Corp	European Investment Bank (EIB)	sell	10/2/2023	No
9/25/2023	EDWARD TYLER	EFSF 0 07/20/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	10/2/2023	No
9/19/2023	EDWARD TYLER	CHINA 0% 11/25/2035 REGS Govt	CHINA GOVT INTL BOND	sell	9/26/2023	No
9/19/2023	EDWARD TYLER	TEMASE 3% 02/15/2033 REGS Corp	Temasek Holdings	buy	9/26/2023	No
9/22/2023	EDWARD TYLER	EIB 0.1 10/15/2026 Corp	European Investment Bank (EIB)	sell	9/29/2023	No
9/22/2023	EDWARD TYLER	EFSF 0 07/20/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	9/29/2023	No
9/21/2023	EDWARD TYLER	EFSF 2% 04/11/2028 REGS Corp	European Financial Stability Facility (EFSF)	buy	9/28/2023	No
9/21/2023	EDWARD TYLER	EIB 2% 07/28/2028 REGS Corp	European Investment Bank (EIB)	sell	9/28/2023	No
9/27/2023	JELENA SPASOJEVIC	BGOSK 5% 05/22/2033 REGS Govt	Bank Gospodarstwa Krajowego	buy	9/27/2023	No

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Date of Dissemination	Employee Name	ns1:instrumentIDValue	Issuer	Direction	validEndDate	Relationship
9/19/2023	EDWARD TYLER	EIB 3 07/15/2033 REGS Corp	European Investment Bank (EIB)	buy	9/26/2023	No
9/19/2023	EDWARD TYLER	KFW 2% 06/07/2033 REGS Corp	KFW	sell	9/26/2023	Yes
9/22/2023	EDWARD TYLER	EFSF 2% 04/11/2028 REGS Corp	European Financial Stability Facility (EFSF)	buy	9/29/2023	No
9/22/2023	EDWARD TYLER	EIB 2% 07/28/2028 REGS Corp	European Investment Bank (EIB)	sell	9/29/2023	No
9/22/2023	EDWARD TYLER	CHINA 0% 11/25/2035 REGS Govt	CHINA GOVT INTL BOND	sell	9/29/2023	No
9/22/2023	EDWARD TYLER	HKINTL 3% 01/11/2030 REGS Govt	HONG KONG	buy	9/29/2023	No
9/22/2023	EDWARD TYLER	TEMASE 3% 02/15/2033 REGS Corp	Temasek Holdings	buy	9/29/2023	No
9/21/2023	EDWARD TYLER	EFSF 3 07/10/2030 REGS Corp	European Financial Stability Facility (EFSF)	sell	9/28/2023	No
9/21/2023	EDWARD TYLER	EIB 2% 07/30/2030 REGS Corp	European Investment Bank (EIB)	buy	9/28/2023	No
9/26/2023	BEN GARBETT	IBRD 5.35 02/09/2029 Corp	INTL BK RECON & DEVELOP	sell	9/26/2023	Yes
9/26/2023	BEN GARBETT	IBRD 6% 07/13/2029 Corp	INTL BK RECON & DEVELOP	buy	9/26/2023	Yes
9/13/2023	MICHAEL PARIETTI	CBAAU 3.214 5/25 Corp	COMMONWEALTH BANK AUST	buy	9/13/2023	No
9/19/2023	MICHAEL PARIETTI	BACR 7.385 11/02/2028 Corp	BARCLAYS PLC	buy	9/19/2023	Yes
9/18/2023	EDWARD TYLER	EIB 0.1 10/15/2026 Corp	European Investment Bank (EIB)	sell	9/25/2023	No
9/18/2023	EDWARD TYLER	EFSF 0 07/20/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	9/25/2023	No
9/15/2023	EDWARD TYLER	BNG 0% 10/24/2036 REGS Govt	BNG BANK NV	sell	9/22/2023	Yes
9/15/2023	EDWARD TYLER	EU 3% 10/04/2038 REGS Corp	EUROPEAN UNION	buy	9/22/2023	No
9/26/2023	DAVIDE MENGISEN	PFE 4.45 05/19/2028 Corp	PFIZER INVESTMENT ENTER	buy	9/26/2023	No
9/7/2023	EDWARD TYLER	SFILFR 1% 03/05/2032 REGS Govt	SFIL	buy	9/14/2023	No
9/18/2023	EDWARD TYLER	SFILFR 1% 03/05/2032 REGS Govt	SFIL	buy	9/25/2023	No
9/18/2023	EDWARD TYLER	KFW 2% 06/07/2033 REGS Corp	KFW	sell	9/25/2023	Yes
9/12/2023	EDWARD TYLER	CHINA 0% 11/25/2035 REGS Govt	CHINA GOVT INTL BOND	sell	9/19/2023	No
9/12/2023	EDWARD TYLER	TEMASE 3% 02/15/2033 REGS Corp	Temasek Holdings	buy	9/19/2023	No
9/25/2023	DAVIDE MENGISEN	GM 6.4 01/09/2033 Corp	GENERAL MOTORS FINL CO	buy	9/25/2023	No
9/25/2023	DAVIDE MENGISEN	GM 5.6 10/15/2032 Corp	GENERAL MOTORS FINL CO	buy	9/25/2023	No
9/19/2023	EDWARD TYLER	BNG 0% 10/24/2036 REGS Govt	BNG BANK NV	sell	9/26/2023	Yes
9/18/2023	MICHAEL PARIETTI	BACR 7.385 11/02/2028 Corp	BARCLAYS PLC	buy	9/18/2023	Yes
9/26/2023	EDWARD TYLER	EIB 0.1 10/15/2026 Corp	European Investment Bank (EIB)	sell	10/3/2023	No
9/26/2023	EDWARD TYLER	EFSF 0 07/20/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	10/3/2023	No
9/26/2023	EDWARD TYLER	EFSF 2% 08/17/2026 REGS Corp	European Financial Stability Facility (EFSF)	buy	10/3/2023	No
9/28/2023	EDWARD TYLER	EIB 3% 02/14/2033 Corp	European Investment Bank (EIB)	sell	10/5/2023	No
9/28/2023	EDWARD TYLER	EIB 2% 01/12/2033 REGS Corp	European Investment Bank (EIB)	buy	10/5/2023	No
9/28/2023	EDWARD TYLER	EIB 3 07/15/2033 REGS Corp	European Investment Bank (EIB)	buy	10/5/2023	No
9/22/2023	EDWARD TYLER	EFSF 3 07/10/2030 REGS Corp	European Financial Stability Facility (EFSF)	sell	9/29/2023	No
9/22/2023	EDWARD TYLER	EIB 2% 07/30/2030 REGS Corp	European Investment Bank (EIB)	buy	9/29/2023	No